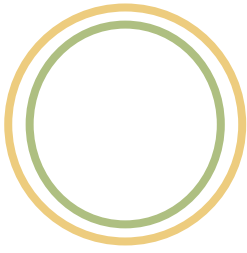




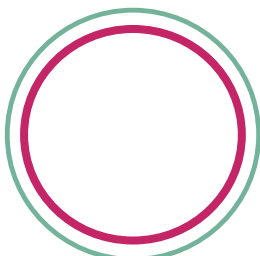
U·H·N·M  
**charity**

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford



# Annual Report and Accounts

## 2023 - 2024



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*During April 2023 to 31 March 2024, the Corporate Trustee included the following:*

## Voting Members



## Non-Voting Members



# Message from our Chair

- Leigh Griffin



Dear Friends and Supporters,

I am delighted to present the annual report and accounts of our UHNM Charity, highlighting the incredible work and achievements of the past year. Our dedicated Charity Team, alongside the generosity and unwavering support of our community, local businesses and UHNM colleagues, has made a remarkable difference in the lives of those in need.

I extend my heartfelt gratitude to every individual, volunteer, business and partner who has contributed their time, resources, and energy to our cause. Your continued support has enabled us to enhance the quality of care and support provided to patients, service users, their families and loved ones, as well as our amazing UHNM colleagues.

Through innovative programmes, initiatives, and partnerships, we have made significant strides in addressing critical healthcare needs and enhancing the wellbeing of our community.

Looking ahead, we are determined to build upon these achievements and continue our mission of providing exceptional care and support to those who need it most. With your ongoing support, we are confident in our ability to make an even greater impact in the coming year and beyond.

Thank you for being an integral part of our journey. Together, we will continue to make a positive difference in the lives of individuals and families in our community.

With warm regards,

*Leigh*

**Leigh Griffin**  
**UHNM Charity Chair**



# Our Charity

**UHNM Charity is the official NHS Charity for both hospitals operated by University Hospitals of North Midlands NHS Trust (UHNM) – County Hospital, Stafford, and the Royal Stoke University Hospital. As such, we touch the lives of everyone in the North Midlands and beyond, no matter their age or condition. Our wish is for our patients to receive the best care in the best possible environment.**

Each year we invest in key areas such as equipment, research, patient and family support, staff training and transforming our two hospitals into more comfortable and welcoming environments. The core mission of UHNM Charity is to enhance the care and treatment of patients accessing NHS services by supporting high priority areas which are beyond the scope of government funding. We also support our colleagues working at UHNM to enable them to provide the best care possible.

The Charity's income comes from fundraising, donations, charitable grants, legacies and sponsorship. The Charity employs a small team of professional fundraising professionals. UHNM Charity organises events and supports many fundraisers organising their own events or taking part in organised events, both community and hospital-based throughout the year.

In addition to the UHNM Trust magazine, and monthly e-newsletter, the main route of communication with donors is via our dedicated website ([www.uhnmcharity.org.uk](http://www.uhnmcharity.org.uk)), and social media:



[www.facebook.com/UHNMCharity](http://www.facebook.com/UHNMCharity)



[www.twitter.com/UHNMCharity](http://www.twitter.com/UHNMCharity)



[www.instagram.com/UHNMCharity](http://www.instagram.com/UHNMCharity)

In all our communications, we seek to update supporters about the impact that donations have made, as well as letting people know about upcoming fundraising activities.

The fundraising team would be delighted to speak to anybody who is considering taking part in an event or organising their own fundraising for UHNM Charity, and can be contacted by email

at [charity@uhnm.nhs.uk](mailto:charity@uhnm.nhs.uk) or by telephone at 01782 676444. We also encourage feedback from all of our supporters, sharing any learning amongst the Charity team.

In setting our objectives and planning our activities the Corporate Trustee has given serious consideration to meeting our public benefit commitment and to the enhancement of the care of patients through:

- Enhancing the quality of patient care;
- Improving the environment for patients, visitors and UHNM Colleagues;
- Supporting NHS employee development to enable them to provide excellent clinical and patient-centred care;
- Providing funding for pioneering developments with the potential to impact directly on the treatment and wellbeing of patients; and
- Funding state-of-the-art equipment that improves outcomes for patients.

To achieve these objectives, UHNM Charity operates a transparent grant making process. All UHNM colleagues, from across both hospitals, can apply for funding to provide additional equipment, facilities, staff training and research over and above what the NHS would normally fund. Our plans to deliver this funding include:

- Encouraging UHNM colleagues to submit funding applications and to identify new facilities, training, equipment that the Charity can provide. This has been achieved by Charity team members meeting with teams across the hospitals and fund advisers as well as through the submission of charitable funds spending plans; and
- Raising income to provide new facilities, training and equipment by supporting patients, members of the public, UHNM staff and organisations in their fundraising.

In line with our investment policy, surplus monies not required to meet immediate commitments are placed in specialist charity investments to create additional income to achieve the Charity objectives in the future.

The team working for the Charity are employed by UHNM NHS Trust. UHNM Charity reimburses the NHS Trust for these costs.

# UHNM Charity Team



**Lisa Thomson**  
UHNM Director of Communications and Charity

Lisa is Director of UHNM Charity and represents the work of the Charity at Board and Executive level.



**Steve Rushton**  
Head of UHNM Charity

Steve leads on developing major appeals and works with the Charity Committee and senior teams to ensure UHNM Charity continues to grow.



**Lynn Oldfield**  
Project Manager

Lynn leads on various projects for the Charity helping to develop and deliver them ensuring maximum uptake and reach.



**Joanna Walford**  
Grant Making Administrator

Joanna works with individuals and teams from across UHNM to advise on and process spending applications.



**Becky Craft**  
Administrator

Becky supports the team with various admin roles and the day-to-day running of the Charity.



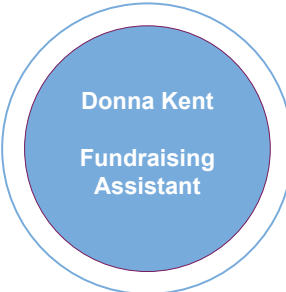
**Sarah O'Reilly**  
Fundraising Officer

Sarah makes sure everyone who is fundraising for us is processed and supported.



**Tasha Brown**  
Fundraising Officer

Tasha makes sure everyone who is fundraising for us is processed and supported



**Donna Kent**  
Fundraising Assistant

Donna helps provide support to the Fundraising team

## Bankers and Professional Advisers

**Bankers**  
Royal Bank of Scotland  
Government Banking Cst  
Po Box 2027  
Parklands  
De Havilland Way  
Horwich  
Bolton  
BL6, 4YU

**Auditors**  
Dains Audit Limited  
Suite 2, Albion House  
2 Etruria Office Village  
Forge Lane  
Stoke-on-Trent  
ST1 5RQ

**Investment Advisers**  
CCLA Investment Management Ltd  
80 Cheapside  
London  
EC2V 6DZ

**Solicitors**  
Mills and Reeve  
78-84 Colmore Row  
Birmingham  
B3 2AB



# Highlights of the Year 2023/2024

The 'Keep Stoke Smiling' campaign, delivered by the Stoke City Community Trust on behalf of UHNM Charity in partnership with University Hospitals of North Midlands NHS Trust (UHNM) has been named EFL community project of the season.

In total 74 schools have engaged with the project, receiving advice in bespoke sessions delivered by UHNM Consultant Orthodontist Dr Karen Juggins.



Local celebrities, businesses and members of the public have all come together to raise more than £13,000 to help UHNM Charity improve patient care and experience at University Hospitals of North Midlands NHS Trust (UHNM).

Eighteen teams took part in a fundraising golf day at a sun-drenched Barlaston Golf Club, the second to be held at the club in aid of UHNM Charity.



More than 50 runners took to the streets of the Potteries in June to run the famous Potters 'Arf Marathon and raise thousands of pounds for UHNM Charity. Former patients along with their friends and families joined members of the UHNM team and other healthcare professionals to tackle the 13.1-mile course to help us improve the patient care and experience at UHNM. Runners took on the course individually or as part of relay teams and there was also a dedicated walker's and children's race.



UHNM Charity was proud to host a premiere of an extraordinary film, chronicling the remarkable journey of Alison Hough. The film was shown at The North Staffs Medical Institute and provided a unique opportunity to witness the incredible work conducted at the University Hospitals of North Midlands NHS Trust. Alison's story highlights the profound impact of generous donations on local individuals and access to cutting-edge technology and services, setting a new standard in healthcare excellence within the country.

The UHNM Charity's Gatsby Ball, held at DoubleTree by Hilton proved to be an unforgettable night of fundraising fun. Sponsored by L D Property and WDPS Stoke, the event not only entertained attendees but also raised a remarkable £11,005.28. The auction, featuring exclusive items and experiences, alongside a raffle, with prizes donated by numerous local businesses and an in-house casino provided an opportunity to try their hand at games of chance, all in the spirit of giving.



Work is set to begin on a £4 million development programme to improve facilities for cancer patients at University Hospitals of North Midlands. The Cancer Centre at Royal Stoke University Hospital is to benefit from changes to its entrance and environment to enhance the patient journey, supporting local patients to live well with cancer. Funded by UHNM Charity and the Denise Coates Foundation, the improvements include a new entrance, a pharmacy, a multipurpose group room, two private rooms, an information centre, a refreshment area and a new waiting area for patients.

Maternity care at UHNM is being enhanced thanks to the reopening of its transitional care unit which officially reopened on the UHNM Charity-supported World Prematurity Day.



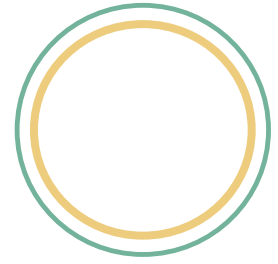
The transitional care unit at Staffordshire Children's Hospital at Royal Stoke is a 10-bedded area located on Ward 205 that cares for babies who require additional nursing and monitoring but do not need the level of care required from a neonatal unit.



Colleagues from across UHNM came together to celebrate the amazing achievements of UHNM Charity's fundraisers at a special 'UHNM Charity Champions' event. Over 150 awards were handed out to fundraisers, local businesses and community groups at a ceremony held at the Double Tree by Hilton. As well as hearing some of the fundraiser's stories, there was also chance to see where their money has been spent for the improvement of patient care and experience across UHNM.



# Our Fundraisers and Donors



## Dr Alison Brind

Prior to her death, Dr Alison Brind, consultant gastroenterologist from University Hospitals of North Midlands NHS Trust (UHNM) put pen to paper on her life's memoirs in a bid to inspire those living with cancer and raise funds for UHNM Charity. Dr Alison Brind was diagnosed with pancreatic cancer in September 2022 and died in April 2024. Dr Brind raised almost £11,000 for liver disease at UHNM.

## Freddie Horne

A Stafford school boy has completed a fundraising vow of silence to thank the hospital staff who cared for his sister. Freddie Horne, nine, made it through an entire day at school without making a sound, raising almost £5,000 in the process.

UHNM Charity's share of the proceeds will be used to improve the patient experience on the Oncology Unit at Staffordshire Children's Hospital at Royal Stoke where Freddie's sister Mabel, four, received treatment for leukaemia.



## Lisa Bentham

A former patient who needed life-saving brain surgery has returned to UHNM to thank the staff who cared for her. Almost three years ago Lisa Bentham from Crewe was diagnosed with a brain tumour after collapsing whilst at work.

After having life-saving surgery at Royal Stoke, Lisa, who's now fully recovered, completed in the North West Triathlon raising £1,250 for the 'fantastic' Neurosurgery Department who cared for her.

## UHNM A&E Staff

A group of more than 20 doctors, nurses, healthcare assistants as well as colleagues from other parts of UHNM have raised over £7,000 to improve the patient experience of patients in A&E by completing the gruelling national Three Peaks Challenge for UHNM Charity!





# Laura and Rich Swinscoe

A fundraising couple have completed seven challenges for the Forget Me Not Suite in memory of their son who was born sleeping at 29 weeks. Laura Swinscoe and husband Rich wanted to thank the staff who cared for them and their son Cub.

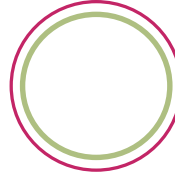
Their challenges, which included the Yorkshire Three Peaks, a Snowdon hike and Rich cycling from Lands End to John O'Groats raised £4,185 for the Suite, and were completed in time for what would have been Cub's first birthday.



# Jack Merriman

A fundraiser who successfully completed the Yorkshire Three Peaks Challenge for UHNM Charity has described it as 'one of the toughest challenges of my life'.

Jack Merriman completed the 24-mile, 1,600m climb in under 12 hours to raise funds for UHNM's Critical Care Unit and Ward 228 Neurosurgery who saved his mum's life following a ruptured brain aneurysm. Jack raised £2,200 for his challenge.



# Shannon Smith

Shannon Smith's great Aunt Roma suffered a stroke as a result of a brain aneurysm. She was found unresponsive at home by her husband and rushed to Royal Stoke. During this time she received care from a range of wards and departments across UHNM including the Critical Care Unit, Acute Rehabilitation Trauma Unit (ARTU) and Neurology. To thank our staff for their 'amazing' support, Shannon has decided to undertake a year's worth of fundraising challenges for UHNM Charity including long-distance walks, abseiling and even a bungee jump!



# John Walkley

In December last year, John Walkley set himself a challenge to ride 4,025 virtual kilometres in a week to beat the current cycling record on the online training program Zwift. At 40kmph, that would mean riding for 14 and a half hours a day, every day, for seven days. All this to raise an incredible £6,891.16 for Staffordshire Children's Hospital at Royal Stoke to thank them for the care given to son Edison.



# Support from Schools, Businesses and Organisations



**Morrisons in Leek and its customers led a pyjama and toy appeal.**



**The Lady Captain at Castle Golf & Leisure club in Stafford held a coffee morning to raise money for UHNM Charity.**



**Year 9 students from Blythe Bridge High School to drop off even more amazing 'Hug in a Bag' care packages to Royal Stoke's Older Adults wards.**



**Members of the Kerala Cultural Association raised over £1,300 to improve patient care and experience at UHNM through a sponsored walk.**



**The team from Strategi held a number of fundraising events during 2023 including a ball.**



**Ben Brampton arranged for a mini Tesla car through Tesla Owners Club UK. The car helps to distract children from the daunting clinical setting of a hospital.**



Newcastle-based IronMarket donated over £8,000 to the Royal Stoke Cancer Centre raised through their annual charity golf day.



Hard working University Hospitals of North Midlands NHS Trust (UHNM) staff are being encouraged to 'check out' exclusive discounted leisure club membership at the Doubletree by Hilton, Stoke-on-Trent.



Wanting to raise funds for Staffordshire Children's Hospital whilst helping provide dance students with footwear, Angela Beardmore School of Dance, Susan's Dance Studio and Rebecca Heath Dance Studio found old dance shoes new owners!



Stoke Chapter donated a number of arts and craft materials for both inpatients and day-case patients to enjoy during their stay.



Pupils and staff from St Giles' Catholic Primary school in Cheadle donated a number of 'relaxation bags' for our cancer patients.



Walton Priory Middle School in Stone took part in their own Olympic triathlon to fundraise for UHNM Charity.



# Our Impact for Patients

**£2,556.140.63**  
approved for  
charitable  
purposes

## Local healthcare research grants

UHNM Charity teamed up with the North Staffordshire Medical Institute (NSMI) to offer local healthcare projects grants of up to £25,000 towards potentially life-saving research.

By supporting the Institute's Research Awards, UHNM Charity will enable more local people to benefit from ground-breaking healthcare research.



## LED Projector for theatre patients

Having an operation can be a stressful and anxious experience for anybody, let alone for younger patients or those with learning disabilities.

That's why we were very happy to support our brilliant team in theatres at Royal Stoke in purchasing an LED projector which will be used to distract patients during their anaesthetic. The projector is used to project a variety of moving images onto the ceiling of the anaesthetics room, so patients can view from their beds.



**12**

grants approved for  
events and training

## Neonatal Improvements

Fundraising and kind donations has helped improve the experience and comfort of families on our Neonatal Intensive Care Unit (NICU).

10 brand-new sleeper chairs have been purchased so parents can stay longer by their baby's bedside. The new sleeper chairs support the family integrated care model, working in partnership with families to encourage them to stay by the bedside and take care of their babies.



**118**  
grants approved  
for new  
equipment

## New day room at The Acute Medical Unit

The Acute Medical Unit (AMU) at County Hospital in Stafford has been able to furnish a new day room thanks to a grant made possible by our supporters.

Staff from the Unit were able to purchase a range of furniture including sofas and recliner chairs for use in the new room.



230  
approved  
grants

## Sound Ear equipment

Patients to the Paediatric Intensive Care Unit at Staffordshire Children's Hospital at Royal Stoke will have an improved and hopefully shortened stay thanks to a new piece of equipment which will help to reduce delirium in young children.

Children with delirium temporarily behave differently than usual and may react strangely to their environment and people around them and it is therefore, important to ensure the environment is as calm as possible to help orientate the child to their surroundings.



## Fracture Clinic

A new and improved Clean Cast Saw is now being used in the clinic, taking the anxiety out of having plaster casts removed.



Dawn Barker, Fracture Clinic Senior Sister said: "We reached out to UHNM Charity to help the clinic to fund the purchase of the new saw for the plaster room, which we felt would be of value to our patients and help them to feel more at ease."

"This new piece of equipment is a lot quieter than previous, therefore it will benefit both adults and children, especially children who have a nervousness about having a cast taken off due to the noise and vibration of the saws."

Tracy Sproson, Fracture Clinic Plaster Technician

35  
grants approved for  
patient literature /  
information



# Key Operational Updates

To support the delivery of the UHNM strategy, key operational milestones have been developed.

The year's key areas of the Operational Plan to support UHNM Charity strategy for 2023/24 was developed and was completed. It encompassed:

A monthly E-newsletter shares with supporters how the funds they raise are being spent and the difference it is making to the people we serve.

A fundraising events planner has been published with exciting events including family fun ways of getting involved and what the community can get involved in.

Following on from the success of the Charity's involvement in the Potters 'Alf, the Charity Team is working with the organisers and local clubs to get even more people involved in supporting and running for UHNM Charity.

**The third UHNM Golf Day surpassed all expectations raising money for UHNM Charity**

UHNM Charity are continuing to have a prime location at County Hospital enabling the Charity Team to provide support to anyone wanting to raise funds and make a difference.

**During 2024/25 the Charity team will build on this foundation to increase both the number of fundraises and the income generated.**

# Leave a legacy to UHNM Charity

By leaving a gift to UHNM Charity you are helping to save and transform the lives of future generations of patients. A gift costs nothing in your lifetime and makes a difference for years to come.

Here at UHNM Charity, we provide support to patients and their families at University Hospitals of North Midlands NHS Trust through both legacies and fundraising. Thanks to the generosity and consideration of our supporters, we have purchased world class equipment, improved the environment and facilities, as well as helped to enhance NHS services for the benefit of people who work or are cared for at Royal Stoke University Hospital and County Hospital, Stafford.

Without the generosity of our supporters this simply would not have been possible.

If you have any further questions, please contact UHNM Charity on 01782 676444 or email [charity@uhnm.nhs.uk](mailto:charity@uhnm.nhs.uk)

## Donate to UHNM Charity

No matter how large or small, every gift will help make a difference to patients and staff at the University Hospitals of North Midlands. There are many ways in which you can donate:

### Online

You can make a donation securely online at [www.uhnmcharity.org.uk](http://www.uhnmcharity.org.uk)

### By post

Send a cheque or CAF Voucher to us by post. Cheques need to be made payable to 'UHNM Charity'. Please enclose a covering letter telling us if there is a particular area of the hospital you would like to support.

UHNM Charity  
Royal Stoke University Hospital  
Newcastle Road  
Stoke on Trent  
ST4 6QG

### By telephone

Call us on (01782) 676444  
(And do not forget to have your credit or debit card ready!)



# Trustees' Report

The Corporate Trustee is pleased to present the University Hospitals of North Midlands Charity (UHNM Charity) Annual Report together with the Audited Financial Statements for the year ended 31 March 2024. These accounts have been prepared in accordance with the Charities Act 2022 and Accounting and Reporting by Charities: Statement of Recommended Practice applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102).

## Legal Structure

University Hospitals of North Midlands NHS Trust is the Corporate Trustee of funds held on trust under powers given to it by s212 to s222 of the National Health Service Act 2006. The funds held on trust are registered as University Hospitals of North Midlands Charity with the Charity Commission under registration number 1054889.

## Management of the Charity

The Trust Board of University Hospitals of North Midlands NHS Trust (the Trust) is the Corporate Trustee of UHNM Charity. All voting Executive and Non-Executive Directors of University Hospitals of North Midlands NHS Trust take decisions collectively as agents of the Trustee and receive training through Board Development sessions.

The directors of the Corporate Trustee are therefore not themselves Trustees even though they are sometimes referred to internally as the Trustees. The Charity is separate from the Trust and independent of it, but it aims to work closely with the Trust in order to achieve its charitable objectives. The Corporate Trustee delegates certain responsibilities to agents and/or employees but always retains the ultimate responsibility for the management of the Charity.

The Corporate Trustee has established a Charitable Funds Committee to oversee the Charity's operation on its behalf. The Committee scrutinises and constructively challenges the Charity's operations and financial information and systems of control, including the Annual Accounts, to provide assurance to the Trustee Board that the administration of charitable funds is distinct from its exchequer funds and compliant with legislation and Charity objectives.

The Charitable Funds Committee meets regularly and reports to the Corporate Trustee, which approves major decisions and remains responsible for the activities of the Charity. The day-to-day operations of the Charity are overseen by the Trust's Director of Communications and Charity.

## Objectives

The main objectives of the Trustees is to accept, hold and administer any property on trust for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the service provided by University Hospitals of North Midlands NHS Trust. The funds of the Charity accumulate from the receipt of donations and legacies together with the returns on investments. The funds are used above basic NHS provision to enhance the care of patients through the provision of new or enhanced facilities, state of the art equipment, and innovative medical research and skilled employees (through welfare, learning and development).

## Investment Policy

Surplus monies not required to meet immediate commitments are currently invested in COIF Charity Funds, which are common investment and deposit funds established under section 96 of the Charities Act 2022. The administrative functions for the COIF Charity Funds have been delegated to CCLA, whose ethical and social investment policies support the UHNM Charity's own investment policy. The portfolio has performed in line with the overall stock market conditions during the financial year with performance continually monitored by the Charitable Funds Committee.

## Reserves Policy

The Corporate Trustee has agreed a Reserves Policy to ensure that donations are spent within a reasonable period from receipt whilst also protecting the financial viability of the fund. The agreed minimum level of reserves is a balance sufficient to cover normalised expenditure for three years and therefore protects the spending plans of the charity against falls in fundraising income, legacies and investment values. Covering running costs in this way gives the Charitable Funds Committee a reasonable timescale to ensure that appropriate management action can be taken to consider alternative funding sources and marketing strategies to improve its position if necessary. It also allows sufficient time for the Charity to process on-going commitments and to meet the cost of closure or transfer of the Charity's affairs should the need ever arise. As at 31 March 2024 UHNM had unrestricted reserves of £7million which exceeds the amount needed to cover the agreed three years operational and governance costs. The Corporate Trustee is committed to reducing the excess over the next three years in a way which gives optimum benefit to patients. The Charity Team is working with fund advisors on spending plans for their particular clinical areas that are in line with both charitable and Trust objectives. The Trust is currently in the process of developing its clinical services strategy and a refresh of its overarching



strategy which once completed will help signpost the areas where charitable investment might give the best long-term benefits to patients. The Corporate Trustee is also seeking proposals for some potentially higher cost schemes associated with developing specialist services such as cancer and children's.

## Risk Policy

The major risks to which the Charity could be exposed have been identified and considered and systems developed to minimise those risks. The most significant risks have been identified as:

1. Losses from a fall in the value of the investments due to volatility in world markets; and
2. Fraud.

The Committee is satisfied that the Charity's Investment Policy together with robust financial procedures, including the regular monitoring of investments, minimises the investment risks. Fraud is controlled by robust financial policies and procedures adopted by the Corporate Trustee.

## Expenditure Approval Policy

As a grant making charity, each application is considered on its own merits for appropriateness against the Charity and any specific fund objectives, and it must comply with the Charity Commission funding criteria and UHNM Charity Policy. All grant applications are subject to approval by the NHS Trust (to confirm they can benefit from the grant and meet any on-going liabilities) and the Corporate Trustee Board or its appointed officers. All research grants are only awarded if approved by the Ethics Committee and results must be made available within the public domain.

## Statement of Trustee's Responsibility

Under charity law, the Trustee is responsible for preparing the Trustee's Report and Financial Statements for each financial year which show a true and fair view of the state of affairs of the Charity and of the Charity's financial position for the period.

In preparing these financial statements, generally accepted accounting practice entails that the Trustee:

- Selects suitable accounting policies and then applies them consistently;
- Makes judgements and estimates that are reasonable and prudent;
- States whether the financial statements comply with applicable accounting standards and statements of recommended practice, subject to any material departures disclosed and explained in the financial statements;
- Prepares the financial statements on the going concern basis unless it is inappropriate to presume that the Charity will continue its activities.

The Trustee is required to act in accordance with the Trust Deed of the Charity, within the framework of trust law. It is responsible for keeping proper accounting records, sufficient to disclose at any time, with reasonable accuracy, the financial position of the charity at that time, and to enable the Trustee to ensure that, where any statements of accounts are prepared by it under s132(1) of the Charities Act 2022, those statements of accounts comply with the requirements of the regulations under that provision. It has general responsibility for taking such steps as are reasonably open to it to safeguard the assets of the Charity and to prevent and detect fraud and other irregularities.

The Trustee is responsible for the maintenance and integrity of the financial and other information included on the Charity's website. Legislation in the UK governing the preparation and dissemination of financial statements may differ from legislation in other jurisdictions. The Trustee confirms that it has met the responsibilities set out above and complied with the requirements for preparing the Trustee's Report and the Financial Statements. The Financial Statements set out in this document have been compiled from and are in accordance with the financial records maintained by the Trustee.

In exercising its powers and responsibilities the Trustee has complied with its duty to have due regard to the Guidance on Public Benefit published by the Charity Commission.

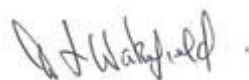
## Related Party Transactions

University Hospitals of North Midlands NHS Trust is a related party as it is both the Trustee and the recipient of grants made by the Charity. Note 1.11 of the Accounts discloses information about any related party transactions.

## Fundraising

In relation to Charities Act 2022, which requires disclosures to be made in respect of fund-raising activities, UHNM Charity has a nil disclosure. UHNM Charity does not employ external professional commercial fundraisers or fundraising organisations. Any individual fundraising for the Charity is provided with an official fundraising pack and supported by the Charity Team to ensure all standards of fundraising are met. These are available on the Charity website. No complaints have been received from fundraisers or donors and the Charity Team works closely to support all of those individuals choosing to raised funds.

The trustees report was approved by:



Chairman  
Date: 15 January 2025

# Financial Statements for the Period 1 April 2023 to 31 March 2024

## Statement of Financial Activities (Including Income and Expenditure Account) for University Hospitals of North Midlands Charity for the Year Ended 31 March 2024

	Note	Unrestricted Funds		Restricted Funds		Total Funds	
		2023/24	2022/23	2023/24	2022/23	2023/24	2022/23
<b>Income from:</b>	2	£000	£000	£000	£000	£000	£000
Donations	2.1	223	248	2	4,390	225	4,638
Legacies	2.2	376	801	284	241	660	1,042
Other trading activities	2.3	302	227	0	3	303	230
Investments	2.4	490	325	86	62	576	387
Other income	2.5	0	0	235	304	235	304
<b>Total Income</b>		<b>1,392</b>	<b>1,601</b>	<b>607</b>	<b>4,999</b>	<b>1,999</b>	<b>6,600</b>
<b>Expenditure on:</b>	3						
Charitable activities	3.1	1,444	615	112	5,054	1,556	5,669
Raising funds	3.2	277	269	16	0	293	269
Other expenditure	3.3	0	0	268	271	268	271
<b>Total expenditure</b>	3.4	<b>1,721</b>	<b>884</b>	<b>395</b>	<b>5,325</b>	<b>2,116</b>	<b>6,209</b>
<b>Net gains / (losses) on investments</b>	4	<b>572</b>	<b>(245)</b>	<b>100</b>	<b>(47)</b>	<b>672</b>	<b>(292)</b>
<b>Net Income</b>		<b>243</b>	<b>472</b>	<b>312</b>	<b>(373)</b>	<b>554</b>	<b>99</b>
<b>Transfers between funds</b>	9	<b>163</b>	<b>111</b>	<b>(163)</b>	<b>(111)</b>	<b>0</b>	<b>0</b>
<b>Net movement in Funds</b>		<b>405</b>	<b>583</b>	<b>149</b>	<b>(484)</b>	<b>554</b>	<b>99</b>
<b>Reconciliation of funds:</b>							
Total funds brought forward		6,988	6,405	1,954	2,438	8,942	8,843
Total funds carried forward		7,393	6,988	2,103	1,954	9,496	8,942

The statement of financial activities includes all gains and losses recognised in the year.

All income and expenditure is derived from continuing activities.


Included within Other Income is £0.2m which represents the second tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful. Associated expenditure of £0.2m is included in Other Expenditure and represents amounts paid across from the Charity to the community charities.

## Balance Sheet for University Hospitals of North Midlands Charity as at 31 March 2024

	Note	Unrestricted Funds 31-Mar-24 £000	Restricted Funds 31-Mar-24 £000	Total Funds 31-Mar-24 £000	Total Funds 31-Mar-23 £000
<b>Non-current assets</b>					
Investments	6	6,312	1,782	8,094	7,422
<b>Total non-current assets</b>		<b>6,312</b>	<b>1,782</b>	<b>8,094</b>	<b>7,422</b>
<b>Current assets</b>					
Debtors	7.1	198	90	289	343
Cash at bank and in hand	7.2	1,965	5,378	7,343	7,308
<b>Total current assets</b>		<b>2,163</b>	<b>5,468</b>	<b>7,632</b>	<b>7,651</b>
<b>Liabilities:</b>					
Creditors falling due within one year	8.1	(1,082)	(5,031)	(6,114)	(2,987)
<b>Net current assets</b>		<b>1,081</b>	<b>437</b>	<b>1,518</b>	<b>4,664</b>
<b>Total assets less current liabilities</b>		<b>7,393</b>	<b>2,219</b>	<b>9,612</b>	<b>12,086</b>
<b>Creditors:</b>					
Amounts falling due after more than one year	8.2	0	(116)	(116)	(3,144)
<b>Net assets</b>		<b>7,393</b>	<b>2,103</b>	<b>9,496</b>	<b>8,942</b>
<b>Funds of the Charity</b>					
Restricted income funds	9	0	2,103	2,103	1,954
Unrestricted income funds		7,393	0	7,393	6,988
<b>Total Funds</b>		<b>7,393</b>	<b>2,103</b>	<b>9,496</b>	<b>8,942</b>

The notes on pages 21 to 27 form part of this account

The date of approval of the accounts by the Trust Board was 15 January 2025.



Chairman  
Date: 15 January 2025



## Cash flow statement for the year ended 31 March 2024

	Note	Total Funds 2023/24 £'000	Total Funds 2022/23 £'000
<b>Reconciliation of net income to net cash flow from operating activities</b>			
<b>Net income for the reporting period (as per the Statement of Financial Activities)</b>		554	99
<b>Adjustments for:</b>			
(Gains)/losses on investments	4	(672)	292
Interest from Investments		(576)	(387)
Decrease / (Increase) in debtors		54	(118)
Increase in creditors		99	2,712
<b>Net cash provided by operating activities</b>		<b>(540)</b>	<b>2,598</b>
<b>Cash flows from operating activities:</b>			
Net cash provided by operating activities		(540)	2,598
<b>Cash flows from investing activities:</b>			
Interest from Investments	2.5	576	387
<b>Net cash used in investing activities</b>		<b>576</b>	<b>387</b>
Increase in cash and cash equivalents in the reporting period		36	2,985
Cash and cash equivalents at 1 April		7,308	4,323
<b>Cash and cash equivalents at 31 March</b>		<b>7,344</b>	<b>7,308</b>
<b>Analysis of cash and cash equivalents:</b>			
Cash in hand		7,343	7,308
<b>Total cash and cash equivalents</b>		<b>7,343</b>	<b>7,308</b>

# Notes to the Financial Statements

## 1 Accounting Policies

### 1.1 Basis of preparation

UHNM Charity (the Charity) has prepared its financial statements for the year ended 31 March 2024.

The Charity constitutes a public benefit entity as defined by FRS102. The accounts (financial statements) have been prepared in accordance with the Charities SORP (FRS 102) which is the Statement of Recommended Practice applicable to Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS102), and the Charities Act 2011 and UK Generally Accepted Practice as it applies from January 2019.

### 1.2 Income recognition

All incoming resources are included in full in the Statement of Financial Activities as soon as the following three factors can be met:

- i) entitlement - arises when a particular resource is receivable or when the Charity's right becomes legally enforceable;
- ii) probable - it is more likely than not that the economic benefits associated with the transaction or gift will flow to the Charity; and
- iii) measurement - when the monetary value of the incoming resource can be measured with sufficient reliability

### 1.3 Legacies

Legacies are accounted for as incoming resources once the receipt of the legacy becomes probable. This will be once confirmation has been received from the representatives of the estates that payment of the legacy will be made or property transferred, and once all conditions attached to the legacy have been fulfilled.

### 1.4 Expenditure recognition

The Charity's accounts are prepared in accordance with the accruals concept. All expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party.

#### a) Cost of generating funds

The cost of generating funds are the costs associated with generating income for the Charity.

#### b) Grants payable

Grants payable are payments made to third parties (including NHS bodies) in the furtherance of the Charity's objectives, which are to relieve those who are sick. Grants are accounted for on an accruals basis where the conditions for their payment have been met or where a third party has a reasonable expectation that they will receive the grant. This includes grants paid to NHS bodies and relevant allocation of support costs. The majority of grant payments made by the Charity have been made to University Hospitals of North Midlands NHS Trust (the Trust).

#### c) Governance costs

These are accounted for on an accruals basis and are recharges of appropriate proportions of costs from the Trust.

### 1.5 Structure of funds

Where there is a legal restriction on the purpose to which a fund may be put, the fund is classified in the accounts as a restricted fund. Funds where the capital is held to generate income for charitable purposes and cannot itself be spent are accounted for as endowment funds and the Charity does not currently hold any such funds. Other funds are classified as unrestricted funds. Funds which are not legally restricted but which the Trustees\* have chosen to earmark for set purposes are classified as designated funds. The major funds held are disclosed in note 9.

\* the Charity has a Corporate Trustee, which is the UHNM Trust Board. For the purposes of these financial statements references to 'Trustees' refer to members of the Corporate Trustee.



# Notes to the Financial Statements

## 1.6 Investment Assets

The Trust does not hold any investment assets.

## 1.7 Realised gains and losses

All gains and losses are taken to the Statement of Financial Activities as they arise. Realised gains and losses on investments are calculated as the difference between sales proceeds and opening market value (or date of purchase if later). Unrealised gains and losses are calculated as the difference between market value at the year end and opening market value (or date of purchase if later).

## 1.8 Change in the Basis of Accounting

There has been no change in the basis of accounting during the year.

## 1.9 Prior Year Adjustments

There has been no change to the accounts of prior years.

## 1.10 Pooling Scheme

An official pooling scheme is operated for investments held by the Charity. The scheme was registered with the Charity Commission on 31st March 1998.

## 1.11 Related party transactions

The Trust is the Charity's only related party. During the year none of the Trustees or members of the key management staff or parties related to them has undertaken any material transactions with the Trust outside their role as employees of the Trust.

During the year none of the Trustees have received any further remuneration or reimbursement of expenses for the provision of their services as a Trustee of the Charitable Fund outside their role as employees of the Trust.

The Charity has made revenue and capital payments to the Trust where the Trustees are also members of the Trust Board.

In year transactions amounted to £2.774m (2022/23: £2.102m). A balance of £1.617m was outstanding at the year end (2022/23: £0.78m) and this is included within creditors falling due within one year.

## 1.12 Denise Coates Foundation

In April 2020 the Charity was given the opportunity to apply for grants of up to £10m by the Denise Coates Foundation to support staff and patients during the on-going COVID-19 pandemic. The Charity received total income of £10.263m in the three years up to the end of March 2023 and no income was received from the Foundation in 2023/24. There has therefore been a reduction in Income from Donations between 2022/23 and 2023/24 as shown in the SOFA. The financial statements include the income, expenditure and a restricted fund balance relating to schemes fully approved and to be funded by this income.

## 1.13 Commitments

Applications for expenditure are treated as commitments in the financial statements once approval has been granted and this outcome has been confirmed with the recipient by the year-end, which creates a constructive obligation. If there is uncertainty as to whether the recipient will be able to proceed with the proposal and the payment becomes possible but not probable, then a liability for the commitment is not recognised. Instead, the funding commitment should be disclosed as a contingent liability.

## 1.14 Going concern

The financial statements have been prepared on a going concern basis as the Trustees believe that no material uncertainties exist.

## 1.15 Post Balance Sheet Events

There are no post balance sheet events.

## 1.16 Contingencies

Contingent assets (that is, assets arising from past events whose existence by one or more future events not wholly within the entity's control) are not recognised as assets but are disclosed in note 11 where an inflow of economic benefit is probable.

# Notes to the Financial Statements

## 2 Income

### 2.1 Donations

	2023/24			2022/23		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
'In memory of' donations	117	1	119	130	4	134
Recognition of Care donations	19	0	19	31	0	31
Collections	6	0	6	6	0	6
Daisy Chain Fund	0	0	0	32	0	32
Denise Coates Foundation	0	0	0	0	4,362	4,362
NHS Charities Together	0	0	0	0	22	22
Other donations	81	0	81	49	2	51
<b>Total donations</b>	<b>223</b>	<b>2</b>	<b>225</b>	<b>248</b>	<b>4,390</b>	<b>4,638</b>

### 2.2 Legacies

	2023/24			2022/23		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
Legacies	376	284	660	801	241	1,042
<b>Total for the year</b>	<b>376</b>	<b>284</b>	<b>660</b>	<b>801</b>	<b>241</b>	<b>1,042</b>

### 2.3 Income from other trading activities

	2023/24			2022/23		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
Charity events and fundraising	302	0	303	227	3	230
<b>Total for year</b>	<b>302</b>	<b>0</b>	<b>303</b>	<b>227</b>	<b>3</b>	<b>230</b>

Income from other trading activities comprises of funds raised through various sporting and other fundraising events as well as income from the Staff Lottery and Fresh Hair retail sales.

### 2.4 Investment income

	2023/24			2022/23		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
Income from investments was received as below:						
Income from other investments	194	34	228	191	36	227
Bank interest	296	52	348	134	26	160
<b>Total for year</b>	<b>490</b>	<b>86</b>	<b>576</b>	<b>325</b>	<b>62</b>	<b>387</b>

### 2.5 Other income

	2023/24			2022/23		
	Unrestricted £'000	Restricted £'000	Total £'000	Unrestricted £'000	Restricted £'000	Total £'000
NHS Charities Together (Community Partnerships)	0	235	235	0	304	304
<b>Total for year</b>	<b>0</b>	<b>235</b>	<b>235</b>	<b>0</b>	<b>304</b>	<b>304</b>

The £0.2m (2022/23 £0.3m) represents the second tranche of income in relation to the NHS Charities Together scheme. During 2022/23 the Charity was selected as lead Charity for this scheme and submitted bids totalling £0.5m on behalf of a number of charities in the local community, of which 14 were successful and the Charity was awarded with the full amount. Associated expenditure of £0.2m (2022/23 £0.3m) is included in Note 3.3 Other Expenditure and represents amounts paid across from the Charity to the community charities.



## Notes to the Financial Statements

3	Expenditure	2023/24			2022/23		
		Unrestricted	Restricted	Total	Unrestricted	Restricted	Total
3.1	<b>Charitable activities</b>	£000	£000	£000	£000	£000	£000
	Patient welfare and amenities	318	102	420	307	137	444
	Staff welfare and amenities	55	1	56	47	11	58
	Research	64	0	64	10	0	10
	Enhancement of NHS care	840	(32)	808	89	4,869	4,958
	Support costs	155	41	196	162	37	199
	Other	12	0	12	0	0	0
	<b>Total</b>	<b>1,444</b>	<b>112</b>	<b>1,556</b>	<b>615</b>	<b>5,054</b>	<b>5,669</b>
3.2	<b>Raising Funds</b>						
	Fund raising costs	199	16	215	234	0	234
	Support costs	78	0	78	35	0	35
	<b>Total</b>	<b>277</b>	<b>16</b>	<b>293</b>	<b>269</b>	<b>0</b>	<b>269</b>
3.3	<b>Other expenditure</b>						
	NHS Charities Together (Community Partnerships)	0	268	268	0	271	271
	<b>Total</b>	<b>0</b>	<b>268</b>	<b>268</b>	<b>0</b>	<b>271</b>	<b>271</b>
3.4	<b>Total expenditure</b>	<b>1,721</b>	<b>395</b>	<b>2,117</b>	<b>884</b>	<b>5,325</b>	<b>6,209</b>

Included in patient welfare and amenities is £0.073m spend on 12 months for hospital Wi-Fi and £0.035m on patient TV's on ward 201 and £0.029m Lymphoedema patient information.

Included in Enhancement of NHS care is capital projects and spend on the purchase of medical and computer systems. During the year £0.435m has been committed for the Cancer Centre reconfiguration, £0.092m for fibroscan and installation and £0.052m spent on ultrasound bladder scanner. A further commitment of £3.673m funded by the Denise Coates Foundation is held within creditors.

The Charity has no direct employees and staff responsible for the administration of the Charity are employees of the Trust. A recharge is made by the Trust to the Charity to reflect the cost of administering the Charity. Staff costs for the period amounted to £0.212m (£0.185m in 2022/23) and are apportioned between expenditure on raising funds and charitable activities.

Included within Other Expenditure is £0.2m which represents the second tranche of payments to community charities in relation to the NHS Charities Together scheme, as detailed in note 2.5.

4	Net gains on investments	2023/24	2022/23
		£'000	£'000
	Unrealised gains/ (losses) on investments	672	(292)
	<b>Net unrealised gains for the year</b>	<b>672</b>	<b>(292)</b>

5	Net income for the year	2023/24	2022/23
		£'000	£'000
	This is stated after charging:		
	<b>Auditor's remuneration:</b>		
	Audit fees	10	12
	<b>Total</b>	<b>10</b>	<b>12</b>

Audit fees are included within Support Costs in Note 3- Expenditure.



# Notes to the Financial Statements

## 6 Analysis of Investments

	2023/24	2022/23
	£'000	£'000
Fixed Asset Investments:		
Opening Market value 1 April	7,422	7,714
Net (loss)/gain on revaluation	672	(292)
Closing Market value at 31 March	8,094	7,422

The historical cost of the investments is £4.645m (£4.645m in 2022/23), and the market value as at 31 March 2024 was £8.094m (£7.422m in 2022/23).

At 31st March 2024 the mid market value of one Charities Investment Fund Income unit was 2,043.26 pence (1,873.66 pence as at 31st March 2023).

## 7 Current assets

### 7.1 Debtors (falling due within one year)

	2023/24	2022/23
	£'000	£'000
Interest including bank interest	89	81
Tax credits	10	9
Debtors	190	253
Total	289	343

### 7.2 Cash at Bank and in hand

	2023/24	2022/23
	£'000	£'000
Cash held at Royal Bank of Scotland	7,343	7,308
Total	7,343	7,308

## 8 Liabilities

### 8.1 Creditors (falling due within one year)

	2023/24	2022/23
	£'000	£'000
Creditors	6,114	2,987
Total	6,114	2,987

Creditors include the current committed expenditure of £4.127m (£1.96m in 2022/23)

### 8.2 Creditors (falling due after more than one year)

	2023/24	2022/23
	£'000	£'000
Creditors	116	3,144
Total	116	3,144

Creditors include the non-current committed expenditure of £0.116m (£3.144m in 2022/23).



# Notes to the Financial Statements

## 9 Funds

### Designated funds

The income funds of the Charity includes designated funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for any charitable purpose or purposes relating to the National Health Service, wholly or mainly for the Trust.

The table below shows all funds with a closing balance of greater than £25,000.

Fund	Opening	Incoming	Resources	Gains and	Transfers	Closing Balance
	Balance					
	1st April 2023					
	£'000	£'000	£'000	£'000	£'000	£'000
RSUH Cancer & Haematology Fund	1,200	164	(552)	99	0	911
UHNH Heart Fund	553	113	(28)	47	0	685
UHNH TRUST General	584	155	(285)	41	63	557
General Cancer Research Fund	319	22	(11)	26	0	357
UHNH Critical Care Fund	286	57	(21)	25	0	346
UHNH Renal Fund	277	29	(10)	23	0	320
Neonatal Intensive Care Unit	289	69	(63)	25	0	320
UHNH Urology Fund	67	217	(3)	8	0	289
UHNH Research and Development Fund	270	19	(69)	22	0	242
UHNH Trauma and Orthopaedic Fund	256	24	(73)	20	0	228
County Fundraising Appeal	202	14	(7)	17	0	226
UHNH Breast Care Fund	181	46	(16)	16	0	226
UHNH Fresh Hair Fund	175	30	(19)	15	0	200
UHNH Respiratory Fund	165	24	(12)	15	0	192
UHNH Neurosciences IP Fund	112	61	(4)	9	0	178
UHNH Children's Centre & Services	163	59	(62)	16	0	176
County Cancer & Haematology Fund	144	13	(5)	12	0	165
County General Fund	82	7	(43)	7	100	154
Cystic Fibrosis	121	13	(11)	10	0	132
UHNH EMERGENCY APPEAL	230	11	(139)	13	0	116
UHNH Stroke & AU Fund	92	18	(15)	8	0	103
RSUH General Fund	94	21	(22)	7	0	100
UHNH Diabetes Fund	80	6	(3)	7	0	90
UHNH Imaging Fund	62	5	(1)	5	0	71
Pot Luck Patient Benefit Fund	61	22	(18)	4	0	70
UHNH Obstetric Fund	96	23	(60)	7	0	66
General Medical Fund	56	5	(3)	5	0	64
UHNH Elderly Care Fund	48	23	(17)	4	0	58
UHNH Vascular Fund	50	4	(2)	4	0	57
UHNH Neurosciences OP Fund	39	14	(2)	4	0	55
Cancer Research	46	3	(2)	4	0	51
Eye Fund	45	5	(6)	4	0	47
UHNH Gastro & Endoscopy Fund	77	22	(57)	5	0	47
UHNH Gynaecology & Gynaec Cancer Fund	44	3	(5)	4	0	46
Oral Surgery	36	4	(1)	3	0	42
UHNH Emergency Care Centres	48	17	(30)	3	0	38
Art for Life	33	2	(1)	3	0	37
Gordon Banks- In Safe Hands	32	2	(1)	3	0	36
UHNH Specialised Surgery	31	6	(8)	2	0	31
Sleep & Ventilation Fund	27	2	(1)	2	0	30
UHNH Colorectal Fund	19	4	(1)	4	0	25
All other designated funds (69)	196	32	(32)	16	0	211
<b>Total Designated Funds</b>	<b>6,988</b>	<b>1,392</b>	<b>(1,721)</b>	<b>572</b>	<b>163</b>	<b>7,393</b>

The number of designated funds at 31st March 2024 was 110 (110 at 31 March 2023).

There has been a transfer of £0.163m from the restricted Emergency Appeal Fund into the UHNH General Fund (£0.063m) and County General Fund (£0.100m) which relates to prior years costs for Patient Entertainment / Hospedals.

### Restricted Funds

The income funds of the Charity include restricted funds comprising unexpended balances of donations and legacies held on trust to be applied wholly or mainly for specific purposes.

The table below shows all funds with a closing balance of greater than £25,000.

	Balance	Incoming	Resources	Gains and	Transfers	Balance
	1st April 2023					
	£'000	£'000	£'000	£'000	£'000	£'000
North Staffs Heart Committee Fund	859	352	(34)	76	0	1,253
Denise Coates Foundation Fund	659	0	7	0	0	667
Rest., Chemotherapy Legacy Fund	113	8	(4)	9	0	127
Rest., Cardiology Legacy	25	2	(1)	2	0	28
All Other Restricted Funds (4)	298	246	(364)	12	(163)	30
<b>Total Restricted Funds</b>	<b>1,954</b>	<b>608</b>	<b>(395)</b>	<b>100</b>	<b>(163)</b>	<b>2,103</b>

The number of restricted funds at 31st March 2024 was 8 (8 at 31 March 2023).

There has been a transfer of £0.163m from the restricted Emergency Appeal Fund (included within All Other Restricted Funds) into the UHNH General Fund (£0.063m) and County General Fund (£0.100m) which relates to prior years costs for Patient Entertainment / Hospedals.

### Total Funds

Total Designated Funds	6,988	1,392	(1,721)	572	163	7,393
Total Restricted Funds	1,954	608	(395)	100	(163)	2,103
<b>Total Funds</b>	<b>8,943</b>	<b>1,999</b>	<b>(2,117)</b>	<b>672</b>	<b>0</b>	<b>9,496</b>

# Notes to the Financial Statements

## 10 Commitments

The funds include the following commitment:

	2023/24	2022/23
	£'000	£'000
Cancer centre reconfiguration	2,675	535
Cancer centre reconfiguration over 1 year	0	2,983
Charitable projects - other	622	796
Charitable projects over one year	116	161
Capital	830	629
<b>Total commitments</b>	<b>4,243</b>	<b>5,104</b>

The Trustees recognise liabilities in the accounts once there is either a legal or constructive obligation to spend the funds. Commitments of £4.243m (£5.104m in 2022/23) have been included within Creditors, as shown in notes 8.1 and 8.2.

Applications for expenditure are accounted for on an accruals basis. A commitment is created where approval is granted and a constructive obligation has been created. All of the commitments in 2023/2024 and 2022/23 relate to grants payable.

There is currently £0.159m remaining relating to maintenance commitments, of which £0.116m is included within creditors due after more than one year. £0.12m of the maintenance commitments relate to the Surgical Robot funded by the Denise Coates Foundation. There is a further commitment to training costs also funded from the Denise Coates Foundation of £0.039m of which £0.026m is included in creditors due after more than one year.

## 11 Contingent assets - Nil

As at 31st March 2024 the Charity has no contingent assets (none in 2022/23).

## 12 Five year summary of Income and Expenditure

Financial year ended	2019/20	2020/21	2021/22	2022/23	2023/24
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,303	3,229	5,358	6,600	1,999
Total Resources Expended	(1,896)	(3,541)	(3,981)	(6,209)	(2,117)
Gains/(losses) on Investment assets	(192)	1,216	613	(292)	672
<b>Net movement in funds</b>	<b>(785)</b>	<b>904</b>	<b>1,990</b>	<b>99</b>	<b>554</b>

Key income and expenditure movements:

i) On average, the Charity received £1.5m of income in each of the above five years excluding Denise Coates Foundation donations received in 2020/2021 (£1.9m), 2021/2022 (£4.0m), and 2022/2023 (£4.4m); and NHS Charities Together Community Partnerships income of £0.2m 2023/24 and £0.3m 2022/23.

ii) On average, the Charity spent £1.5m in each of the above five years excluding Denise Coates Foundation funded projects in 2020/2021 (£1.7m), 2021/2022 (£3.1m), and 2022/2023 (£4.8m); and NHS Charities Together Community Partnerships expenditure of £0.2m 2023/24 and £0.3m in 2022/23.

iii) Gains and losses on investments are not within the Charity's control and are dependent on the prevailing market conditions which impact on the investment portfolio managed by our investment managers.

The table below shows the underlying income and expenditure of the Charity net of the items described in points i) to iii) above.

### Five year summary of Underlying Income and Expenditure

Financial year ended	2019/20	2020/21	2021/22	2022/23	2023/24
	£'000	£'000	£'000	£'000	£'000
Total Incoming Resources	1,303	1,328	1,358	1,934	1,764
Total Resources Expended	(1,896)	(1,875)	(922)	(1,141)	(1,879)
<b>Net movement in funds</b>	<b>(593)</b>	<b>(547)</b>	<b>436</b>	<b>793</b>	<b>(115)</b>

This analysis demonstrates that the Charity has maintained its underlying fund balances across the five year period, with a net decrease in funds of £0.1m relating to the sources of income and expenditure that will be continuing as part of the regular ongoing activity of the Charity. As the Charity has total fund balances of £9.5m, the Charity and Trustees will work with the Trust to encourage and develop spending plans within the forthcoming year.



# Independent Auditor's Report to the Corporate Trustee of UHNM Charity

## Independent Auditor's Report to the Trustees of University Hospitals North Midlands Charity

### Opinion

We have audited the financial statements of University Hospitals of North Midlands Charity ('the charity') for the year ended 31 March 2024 which comprise the Statement of Financial Activities, Balance Sheet, the statement of cash flows and the notes to the financial statements, including a summary of significant accounting policies. The financial reporting framework that has been applied in their preparation is applicable law and United Kingdom Accounting Standards, including Financial Reporting Standard 102 *The Financial Reporting Standard applicable in the UK and Republic of Ireland* (United Kingdom Generally Accepted Accounting Practice).

In our opinion the financial statements:

- give a true and fair view of the state of the charity's affairs as at 31 March 2024, and of its incoming resources and application of resources, including its income and expenditure, for the year then ended;
- have been properly prepared in accordance with United Kingdom Generally Accepted Accounting Practice; and
- have been prepared in accordance with the requirements of the Charities Act 2011.

### Basis for opinion

We conducted our audit in accordance with International Standards on Auditing (UK) (ISAs (UK)) and applicable law. Our responsibilities under those standards are further described in the Auditor's responsibilities for the audit of the financial statements section of our report. We are independent of the charity in accordance with the ethical requirements that are relevant to our audit of the financial statements in the UK, including the FRC's Ethical Standard, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### Conclusions relating to going concern

In auditing the financial statements, we have concluded that the Trustees' use of the going concern basis of accounting in the preparation of the financial statements is appropriate.

Based on the work we have performed, we have not identified any material uncertainties relating to events or conditions that, individually or collectively, may cast significant doubt on the Charity's ability to continue as a going concern for a period of at least twelve months from when the financial statements are authorised for issue.

Our responsibilities and the responsibilities of the Trustees with respect to going concern are described in the relevant sections of this report.

## Other information

The trustees are responsible for the other information. The other information comprises the information included in the trustees' report, other than the financial statements and our auditor's report thereon. Our opinion on the financial statements does not cover the other information and, except to the extent otherwise explicitly stated in our report, we do not express any form of assurance conclusion thereon.

In connection with our audit of the financial statements, our responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements or our knowledge obtained in the audit or otherwise appears to be materially misstated. If we identify such material inconsistencies or apparent material misstatements, we are required to determine whether there is a material misstatement in the financial statements or a material misstatement of the other information. If, based on the work we have performed, we conclude that there is a material misstatement of this other information, we are required to report that fact.

We have nothing to report in this regard.

## Matters on which we are required to report by exception

We have nothing to report in respect of the following matters in relation to which the Charities (Accounts & Reports) Regulations 2008 requires us to report to you if, in our opinion:

- the information given in the financial statements is inconsistent in any material respect with the trustees' report; or
- sufficient accounting records have not been kept; or
- the financial statements are not in agreement with the accounting records; or
- we have not received all the information and explanations we require for our audit.

## Responsibilities of trustees

As explained more fully in the trustees' responsibilities statement, the trustees are responsible for the preparation of the financial statements and for being satisfied that they give a true and fair view, and for such internal control as the trustees determine is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, the trustees are responsible for assessing the charity's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless the trustees either intend to liquidate the charity or to cease operations, or have no realistic alternative but to do so.



# Independent Auditor's Report to the Corporate Trustee of UHNM Charity

## Auditor's responsibilities for the audit of the financial statements

We have been appointed as auditor under section 144 of the Charities Act 2011 and report in accordance with regulations made under section 154 of that Act.

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an Auditors' report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with ISAs (UK) will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

Irregularities, including fraud, are instances of non-compliance with laws and regulations. We design procedures in line with our responsibilities, outlined above, to detect material misstatements in respect of irregularities, including fraud. The extent to which our procedures are capable of detecting irregularities, including fraud is detailed below:

*Our approach to identifying and assessing the risks of material misstatement in respect of irregularities, including fraud and non-compliance with laws and regulations, was as follows:*

- *the senior statutory auditor ensured that the engagement team collectively had the appropriate competence, capabilities and skills to identify or recognise non-compliance with applicable laws and regulations;*
- *we identified the laws and regulations applicable to the charity through discussions with directors and other management, and from our commercial knowledge and experience of the sector;*
- *we focused on specific laws and regulations which we considered may have a direct material effect on the financial statements or the operations of the charity, including the financial reporting legislation, Charities Act 2011, taxation legislation, anti-bribery, employment, and environmental and health and safety legislation;*
- *we assessed the extent of compliance with the laws and regulations identified above through making enquiries of management and inspecting legal correspondence; and*
- *identified laws and regulations were communicated within the audit team regularly and the team remained alert to instances of non-compliance throughout the audit.*

*We assessed the susceptibility of the charity's financial statements to material misstatement, including obtaining an understanding of how fraud might occur, by:*

- *making enquiries of management as to where they considered there was susceptibility to fraud, their knowledge of actual, suspected and alleged fraud; and*
- *considering the internal controls in place to mitigate risks of fraud and non-compliance with laws and regulations.*

## Independent Auditor's Report to the Corporate Trustee of UHNM Charity

To address the risk of fraud through management bias and override of controls, we:

- performed analytical procedures to identify any unusual or unexpected relationships;
- tested journal entries to identify unusual transactions;
- assessed whether judgements and assumptions made in determining the accounting estimates set out in Note 1 were indicative of potential bias; and
- investigated the rationale behind significant or unusual transactions.

In response to the risk of irregularities and non-compliance with laws and regulations, we designed procedures which included, but were not limited to:

- agreeing financial statement disclosures to underlying supporting documentation;
- reading the minutes of meetings of those charged with governance;
- enquiring of management as to actual and potential litigation and claims; and
- reviewing correspondence with HMRC, relevant regulators and the charity's legal advisors.

Because of the inherent limitations of an audit, there is a risk that we will not detect all irregularities, including those leading to a material misstatement in the financial statements or non-compliance with regulation. This risk increases the more that compliance with a law or regulation is removed from the events and transactions reflected in the financial statements, as we will be less likely to become aware of instances of non-compliance. The risk is also greater regarding irregularities occurring due to fraud rather than error, as fraud involves intentional concealment, forgery, collusion, omission or misrepresentation.

A further description of our responsibilities for the audit of the financial statements is located on the Financial Reporting Council's website at: [www.frc.org.uk/auditorsresponsibilities](http://www.frc.org.uk/auditorsresponsibilities). This description forms part of our Auditors' report.

### Use of our report

This report is made solely to the charity's trustees, as a body, in accordance with part 4 of the Charities (Accounts & Reports) Regulations 2008. Our audit work has been undertaken so that we might state to the charity's trustees those matters we are required to state to them in an auditor's report and for no other purpose. To the fullest extent permitted by law, we do not accept or assume responsibility to anyone other than the charity and the charity's trustees as a body, for our audit work, for this report, or for the opinions we have formed.

*Dains Audit Limited*

### **Dains Audit Limited Statutory Auditor**

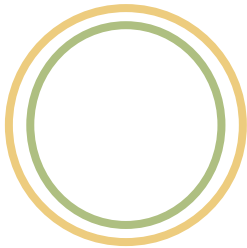
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15 January 2025

Dains Audit Limited is eligible to act as auditor in terms of Section 1212 of the Companies Act 2006



U·H·N·M  
**charity**

Raising funds for Royal Stoke University Hospital and County Hospital, Stafford



Registered Name: University Hospitals of North Midlands  
NHS Trust Charity (known as UHN·M Charity)  
Registered Charity Number: 1054889

Registered Office: Springfield, Trust HQ, Newcastle Road,  
Stoke-on-Trent, Staffordshire, ST4 6QG

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Website: [www.uhn·mcharity.org.uk](http://www.uhn·mcharity.org.uk)

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